

Response to Missing Parts under 37 CFR 1.52 or 1.53

PTO/SB/21 (08-00)
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/655,917 TRANSMITTAL Filing Date 09/5/2003 FORM First Named Inventor James F. Blubaugh ET AL. (to be used for all correspondence after initial filing) Group Art Unit 2862 **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 02-131 **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Licensing-related Papers Appeal Communication to Group Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer **Express Abandonment Request** Request for Refund Х Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application

	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name	D. James Barnes, Registration No. 48,869				
Signature	D.9-1B-				
Date	January 20, 2004				

<u> </u>	CERTIFICATE	OF MAILING	
	ence is being deposited with the United States Postatox 1450, Alexandria, VA 22313-1450 on this date:	al Service as first class mail in an envelope address to:  01/20/2004	
Typed or printed name	D. James Barnes		
Signature	D.8-6B-	Date 1/20/2004	

#### PATENT APPLICATION

### IN THE UNKEED STATES PATENT AND TRADEMARK OFFICE

In re Application of	)	
James F. Blubaugh et al.	Art Unit:	2862
Application No.: 10/655,917	Examiner:	Unassigned
Filed: September 5, 2003	)	
For: POSITION SENSING CYLINDER CAP FOR EASE OF SERVICE AND ASSEMBLY	) ) )	
Attorney Docket No. 02-131	) )	

Peoria, Illinois 61629-6490

Date: January 20, 2004

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. Copies of the listed documents are attached.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art". If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

#### **FEES**

It is believed that this Information Disclosure Statement is being filed before the mail date of a first Office action on the merits for the above-referenced application. Therefore, no fees are believed to be incurred in connection with the filing of this Statement. However, should any attached papers become lost or separated or should additional fees or petitions be deemed necessary in connection with the filing of this Statement, the Commissioner is requested to treat this as such petition, and is hereby authorized to charge any fees due to Caterpillar Inc.'s Deposit Account No. 03-1129.

Respectfully submitted,

D. James Barnes

Registration No. 48,869

D. J. B

Caterpillar Inc.

Telephone: (309) 494-1815 Facsimile: (309) 675-1236

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known				
Application Number	10/655,917			
Filing Date	09/5/2003			
First Named Inventor	James F. Blubaugh ET AL.			
Art Unit	2862			
Examiner Name				
Attorney Docket Number	02-131			

			U.S. PAT	ENT DOCUMENTS	
Examiner Initials		Document Number  Number - Kind Code <sup>2</sup> (if known	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	<u> </u>	US- 614,259	11-15-1898	Bouldry	
	ļ	US- 2002/0135359	9-26-2002	Steinich	
		US- 3,898,555	8-5-1975	Tellerman	
		US- 3,915,070	10-28-1975	Mailliet	
	<u> </u>	US- 4,121,504	10-24-1978	Nowak	
	<u> </u>	US- 4,179,982	12-25-1979	Saotome	
		US- 4,552,055	11-12-1985	Foxwell	
		US- 4,632,018	12-30-1986	Lymburner	
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		US- 4,771,866	9-20-1988	Heideman et al.	
		US- 4,788,489	11-29-1988	Kobayashi et al.	
		US- 4,883,150	11-28-1989	Arai	
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		US- 4,952,873	8-28-1990	Tellerman	
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		US- 5,150,049	9-22-1992	Schuetz	
		US- 5,182,980	2-2-1993	Greer	
		US- 5,198,761	3-30-1993	Hashimoto et al.	
		US- 5,320,325	6-14-1994	Young et al.	

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 5 (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6	
		EP 1,081,390 A2		Goto et al.			
		DE 2,313,215		Hennig et al.			
		DE G91 09 026.1		Siemens AG			

Examiner	Date	
Signature	Considered	1

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1 Applicant's unique citation designation number (optional).

2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.qov">www.uspto.qov</a> or MPEP 901.04.

3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

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		US- 5,514,961	5-7-1996	Stoll etal.	
		US- 5,583,433	12-10-1996	Sawa	
·····		US- 5,717,330	2-10-1998	Moreau et al.	
		US- 5,815,091	9-29-1998	Dames et al.	
		US- 5,952,823	9-14-1999	Nyce et al.	
		US- 5,977,778	11-2-1999	Chan et al.	
	<u> </u>	US- 5,998,992	12-7-1999	Arai	
		US- 6,250,612	6-26-2001	Doll	
		US- 6,356,071	3-12-2002	Koski et al.	
		US- 6,509,733	1-21-2003	Blubaugh et al.	
		US- 6,528,990	3-4-2003	Nyce	
		US-			

	FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No.1	Foreign Patent Document  Country Code3 -Number4 - Kind Code3 (If known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
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Examiner		Date	
Signature		Considered	

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1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English Ingriting is attached. English language Translation is attached.

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Sheet 3 of 4

Complete if Known				
Application Number 10/655, 917				
Filing Date	09/5/2003			
First Named Inventor	James F. Blubaugh ET AL.			
Group Art Unit	2862			
Examiner Name				
Attorney Docket Number	02-131			

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	1
		Balluff, Micropulse - Liner Position Transducers 2002 Catalog, pages through 103	
		MTS Sensors Group, The Magnetostriktive Position Sensors, Temposonics M-Series Rod Model MH	
		MTS Temposonics, Linear Position Sensors, Product Specifications	
		MTS Temposonics, Absolute Non-Contacting Position Sensors, Application Guide, 1998	
		Hydro-Line, Inc., HLT-II, The Newest Generation Hydr-Line Magnetostrictive Linear Positioning Sensing Transducer, 1996	
		MTS Temposonics LK Embeddable Sensors, OEM Integrator's Manual, 1998	
		MTS Temposonics L Series LD, Product Specifications, 1999	
		MTS Temposonics III and L Series Position Sensors, Product Line Overview, 1996	
		MTS Temposonics L Series LD, Product Specifications, 1998	
		Attachment 1, MTs Performance Capabilities, 1998	
		Attachment 2, Photo of Sensor, no date	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	02-131				

NON PATENT LITERATURE DOCUMENTS  NON PATENT LITERATURE DOCUMENTS  When appropriate), title of the				
Examiner	Cite	include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ2	
naiais	Nu.	Attachment 3, Photo of Temposonics Sensor in a Cylinder, no date	ļ 	
	_	Attachment 4, Photo of Sensor Connector, no date	-	
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